

UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/862,449	05/23/2001	Sung-Han Kim	1514.1002

CONFIRMATION NO. 8882

 21171
 STAAS & HALSEY LLP
 700 11TH STREET, NW
 SUITE 500
 WASHINGTON, DC 20001

FORMALITIES LETTER



OC000000006329111

Date Mailed: 07/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$392.
 - \$72 for 4 total claims over 20.
 - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1232.**

*A copy of this notice **MUST** be returned with the reply.*

 Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

 10/18/2001 WABRHM1 00000068 09862449
 740.00 PP
 72.00 PP
 336.00 PP
 130.00 PP
 01 FC:101
 02 FC:103
 03 FC:102
 04 FC:105

**RESPONSE TO NOTICE TO FILE
MISSING PARTS**

Attorney Docket No.	1514.1002
Application Number	09/862,449
Filing Date	May 22, 2001
First Named Inventor	Sung Han KIM et al.
Group Art Unit	Unassigned

Title: A BLUE ELECTROLUMINESCENCE COMPOUND FOR AN ORGANIC ELECTROLUMINESCENCE DEVICE AND THE ORGANIC ELECTROLUMINESCENCE DEVICE USING THE SAME

Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed July 23, 2001 for the above-identified application, enclosed are the following:

- ☒ RETURN COPY of Notice to File Missing Parts of Nonprovisional Application
- ☒ Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration.
- ☐ Formal Drawings (___ Sheets; Figs ____)
- ☐ English-language translation of application (with Translator's Statement (pages ____))
- ☐ Verified Statement Claiming Small Entity Status

Enclosed is a payment of the following:

- | | |
|---|-----------|
| <input checked="" type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a) | \$ 740.00 |
| <input checked="" type="checkbox"/> The additional claim(s) fee (4 claims over 20) | \$ 72.00 |
| <input checked="" type="checkbox"/> The additional independent claim(s) fee (4 claims over 3) | \$ 336.00 |
| <input type="checkbox"/> Multiple dependent claims | \$ |
| <input checked="" type="checkbox"/> Petition for Extension of Time (<u>one</u> -month) | \$110.00 |
| <input type="checkbox"/> English language translation fee | \$ |
| <input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e) | \$130.00 |

SUBTOTAL FEES: \$1388.00

☐ Reduction by 50% for filing by small entity (37 CFR 1.27) .00

SUBTOTAL FEES: \$1388.00

TOTAL FEES DUE: \$1388.00

10/18/2001 WAIRHAM1 00000068 09862449

05 FC:115

110.00 DP.

Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of September 23, 2001, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960)): \$110.00

METHOD OF PAYMENT

- ☒ Check enclosed as payment.
☐ Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.

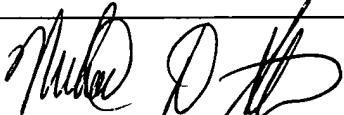
AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935

Deposit Account Name: STAAS & HALSEY LLP

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	Michael D. Stein	Reg. No.	37,240
Signature		Date	10/17/01